



University of Colorado
Denver | Anschutz Medical Campus

Project Funding and Spending Controls Implementation

Office of Grants and Contracts
Presenter: Stephanie Chandler-Thompson
June 2018



Agenda

- ▶ Current Structure
- ▶ Future Structure
- ▶ Project Status Codes
- ▶ Implementation Date
- ▶ Resources
- ▶ Questions



Current Structure

Current system configuration of Peoplesoft 9.2 allows payroll and non-payroll expenditures to bypass funding end date and project status validations. Expenditures are posted to the Project/Speed type at any time if the speed type is active.



Current Structure

- ▶ Overpayments
- ▶ Denial of Payment
- ▶ Higher fiscal risk due to unallowable/ uncollectible expenditures.
- ▶ Federal agencies are shutting down ability to draw/bill after 90–120 days
- ▶ Additional requirements from Sponsors



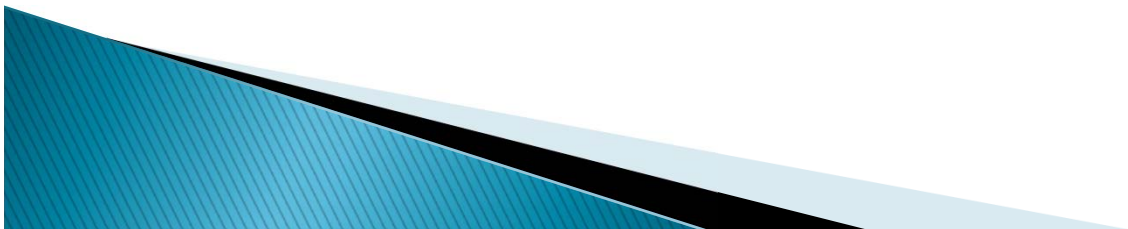
Future Structure

CU is implementing a system configuration which will have an impact on campus business practices and will offer systematic control over expenditures incurred beyond the sponsored project end date.

- Impacts all campuses
- Includes sponsored projects (funds 30/31) and fund 35 AEF/DEF/Transformation grants

Future Structure Systems Impacted

- ▶ Human Capital Management (HCM)
- ▶ Concur
- ▶ CU Marketplace
- ▶ Payroll Expense Transfers (PETs)



Future Structure

The following changes will occur in HCM

- ▶ The funding distribution will require a funding end date for entry which cannot exceed the project end date.
- ▶ Payroll following the end date of a project will transition to suspense if a new distribution has not been entered.
- ▶ New payroll entries can no longer be submitted after the project end date

Future Structure

HCM: Funding Entry New Distribution

New Distribution Data Personalize | Find | View All | First 1 of 1 | Last

ERNCD	SpeedType	SpeedType Description	Project Enc. Date	Funding End Date	Budget Amount	Percent of Distribution	Fund Code	Department	Program Code	Project	Account	PC Business Unit	Activity	Chartfield Descr
1	41016998	Anthropology			5398.833	100.000	10	40007	23805		444444			Chartfield Descr

EMPLOYEE JOB & TRANSACTION DATA		NEW FUNDING BEGIN DATE			UPDATED FUNDING INFORMATION		
Employee Name	JACQUELINE				FTE	SALARY	% TIME
Empl ID/Pos #	12345678			6/1/2018	0.600	\$ 3,600.00	60.000
Department		Speedtype	SpeedType Descr	End Date	100%	PAY AMT	% TIME
Funding Data	Updated	610xxxx	Gen Fund 1	6/30/2018	33.333	\$ 1,200.00	20.000
Standard Hrs	24.00	630xxxx2	Grant 2	9/15/2018	20.833	\$ 750.00	12.500
FTE	0.6000	635xxxx3	Grant 3	10/31/2018	29.167	\$ 1,050.00	17.500
SALARY	\$ 3,600.00	630xxxx4	NEW GRANT 1	12/31/2018	13.889	\$ 500.00	8.333
Salary @ FTE 1.0	\$ 6,000.00	630xxxx5	NEW GRANT 2	12/31/2018	2.778	\$ 100.00	1.667
BASEM	\$ -				0.000		0.000
TRANSACTION INFORMATION		TOTAL PERCENT TIME			100.000	\$ 3,600.00	60.000

Calculate the funding distribution

1. Enter an ACTIVE SpeedType
2. Enter the funding end date
3. Add or delete lines as needed
4. Enter the % distribution or budget amount
5. Total distribution equal to 100%

Future Structure

The following changes will occur for CU Marketplace

- ▶ All new requisitions/PO will be stopped when a project is in “Ended” status
- ▶ Non-personnel transactions will not post to an open purchase order when the project is in “Reporting” status
- ▶ PO must be closed/resolved in 60 days

Future Structure

President's Office developing reports to share with departments on the monthly transactions that will have issues after 10/22/18 including

- HCM Funding Lines that will be charged to suspense
- Payroll Expense Transfer (PETS) that occur after the project end date
- Overall aging of PETS within the project period—high compliance risk if not transferred in a timely manner

Project Status Codes

- ▶ **S-Pre-Award**: Project/Speedtype is active to incur expenditures before the start of the funding period
- ▶ **O-Open**: Project/Speedtype is active to incur expenditures from the start of the project period to the end of the project period
- ▶ **E-Ended**: Project period+1 day; Ended Status confirms the funding period has ended and preparation for final reporting and closeout begin.
- ▶ **R-Reporting**: Project period+60 days; No additional payroll or non-payroll expenses should be added/removed from the project while final financial deliverables are prepared.
- ▶ **C-Closed**: The project/speedtype is inactivated and no other transactions may post or be removed.
- ▶ **H-Hold**: Used minimally-to indicate that the active project has been placed on a contract hold

Project Status Codes

Example “Ended” Status:

Project end date 6/30/2018 +1 day

Ended status= 7/1/2018

- Concur will allow Pcard and reimbursements to flow through in an “Ended” Status.
- Marketplace will not allow a new requisition
- Payroll dated after 6/30/18 will not be allowed

Project Status Codes

“Reporting” Status Example

Project End Date + 60 days

6/30/2018 + 60 Days = 8/30/2018

- ▶ No expenses will book in the following systems: Concur, Marketplace or HCM.

Implementation Date

October 22, 2018



Frequently Asked Questions

- ▶ What do I do if I'm waiting for my Project/Speedtype?

Answer: Speedtypes are set up within 7–10 business days for fully executed awards. Request a pre-award prior to the start date if you know there will be a delay.

Frequently Asked Questions

- ▶ Affiliate payments for hospital come 30 days after end date and a PO must be created at that time. What do we do?

Answer: An exception process will be created to ensure we can adequately pay these allowable costs.

Industry Clinical Trials–No Cost Extensions can be processed

Resources

- ▶ OGC Listserve
- ▶ Sharon Grant–Campus Change Manager–scheduling meetings to obtain feedback/concerns from departments
- ▶ Available for meetings–please contact
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Sharon Grant Sharon.Grant@ucdenver.edu

QUESTIONS

