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University of Colorado

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Setup General Ledger

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ChartField Audits

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ChartField Values

SpeedTypes

Account

Sub-class

Fund

Organization

Program

Project

Fund-Acct Combo Edit

Org Fiscal Roles

Exp Control Attribute Change

Define Additional Values

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Commitment Control

Allocations

Monitor Background Process

Review Journal/Ledger Data

General Reports

General Ledger Home

Accounts Payable

Accounts Receivable

Billing

Customer Contracts

Customers

Grants

Projects

Purchasing

Vendors

Tree Manager

Reporting Tools

Project

Enter any information you have and click Search. Leave fields blank for a list of all values.

Find an Existing Value Add a New Value

SetID:

UCOLO

Project:

begins with

Effective Status:

=

SpeedType:

begins with

Organization:

begins with

Manager Position:

begins with

Principal EmplID:

begins with

Sponsor:

begins with

Campus:

=

Letter of Credit Code:

begins with

Award Number:

begins with

Proposal Number:

begins with

Document Number:

begins with

Project End Date:

=

Budget Period End Date:

=

Description:

begins with

Include History

Case Sensitive

Search

Clear

Basic Search


Save Search Criteria

Find an Existing Value Add a New Value

Main Content

2:00 PM

2/15/2013

**Project** | [Project CU Attributes](#) | [Sponsor](#) | [Program Income](#) | [Award Number](#) | [Dates](#) | [Reports](#) | 

## Project



SetID: UCOLO


Project: 2500000

Integration: UCOLO 

CU Project Attributes			Find   View All   	First  1 of 1  Last
*Effective Date	*Description	Short Descr	*Status	
12/22/2010 	Gpfor Mer Tyrsine	Gpfor Mer Ty	Inactive	 

[Project General Information](#)

 Save | [Return to Search](#) |  Refresh

[Add](#) | [Update/Display](#) |  Include History

[Project](#) | [Project CU Attributes](#) | [Sponsor](#) | [Program Income](#) | [Award Number](#) | [Dates](#) | [Reports](#) | [Manager](#) | [Principal](#)

**Note:** In the following screens, those fields not defined are either not used, or the field appears to be self-evident.

**Effective Date:** Anytime a field is updated in any of the project screens, this date indicates the date the displayed value became effective. This field is most relevant when the "Include History" box is checked on the Project Search Screen on the "Include History" button above is used.

**Description Fields:** The short and long title of the project. Currently, this data does not match the title on the NGA/Contract.

**"Project General Information":** This button provides access to the second set of project screens which include the billing accountant's name, bill type, last bill sequence, autobill override and the segment start date.

Project General | [Definition](#) | [Manager](#) | [Location](#) | [Phases](#) | [Approval](#) | [Justification](#) | [User Fields](#) | 

**Business Unit:** UCOLO University of Colorado

**\*Project:** 2500000

[Create New Project](#)

[Project Chartfield](#)

**\*Description:** Gpfor Mer Tyrpsine

[Add to My Projects](#)

[My Projects](#)

[Project Summary](#)

[Project Team](#)

[Project Activities](#)



**\*Integration:** UCOLO  University of Colorado

**Project Type:** 00000 

**Status:** Inactive

**Description**

[Find](#) | [View All](#)

First  1 of 6  Last

**Date/Time Stamp:** 05/03/10 7:41:45PM

**User ID:**


**Description:**

**Long Description:**

Gpfor Mer Tyrpsine


 Save

[Return to Search](#)

 Refresh

[Add](#)

[Update/Display](#)



 Include History

[Project General](#) | [Definition](#) | [Manager](#) | [Location](#) | [Phases](#) | [Approval](#) | [Justification](#) | [User Fields](#) | [Rates](#) | [Attachments](#)



**Project Chartfield Button:** Takes you back to the Project Chartfields Screens where most of the data is stored.

**Project Team Link:** Takes to you the Billing Accountant for the award. This field is populated only if OGC is to bill the award.

**Long Description:** Currently the data is defaulted from the short description contained in the Chartfield screens.

[New Window](#) | **Project Team Summary** | **Team Detail****Unit:** UCOLO    **Project:** 2500000    **Description:** Gpfor Mer Tyrpsine**Project Team Members**[Customize](#) | [Find](#) | [View All](#) | First  1 of 1  Last


<u>Member Type</u>	<u>Member ID</u>	<u>Name</u>	<u>Project Role</u>	<u>Email Notify</u>	<u>Start Date</u>	<u>End Date</u>
Employee	.	Lopez-Thrasher, Brittany N.	BILLER	<input checked="" type="checkbox"/>		

[Return to Project General](#) Save |  Return to Search

This screen provides the name of the Billing Accountant. This field is populated only if OGC will be invoicing the project. Like, fiscal manager and fiscal staff roles, the name of the individual displayed is based on HRMS and who currently populates the displayed position number.

Note: If the Billing accountant position number for the project is vacant, the invoice will automatically pull the Postaward Accountant's name from the position number populated in the Postaward Accountant's role.



**Project Team Summary** [Team Detail](#)**Unit:** UCOLO **Project:** 2500000 **Description:** Gpfor Mer Tyrpsine**Details**[Find](#) | [View All](#) First  1 of 1  Last**Member Type:** Employee**Team Member:** 0000000 Lopez-Thrasher, Brittany N.**Project Access****Activity Access****Project Role:** BILLER**Start Date:** **Email ID:****End Date:** ☒ **Email Notify for Status Change****Description:**[Add Member to Activity Team](#)[Return to Project Team List](#) **Save** **Return to Search**

This screen provides the name of the Billing Accountant. This field is populated only if OGC will be invoicing the project. Like, fiscal manager and fiscal staff roles, the name of the individual displayed is based on HRMS and who is currently in the displayed position number.

[Project General](#) | [Definition](#) | [Manager](#) | [Location](#) | [Phases](#) | [Approval](#) | [Justification](#) | [User Fields](#) 

Unit: UCOLO Project: 2500000 Description: Gpfor Mer Tyrpsine

User Fields

Bill Type: 2M3 User Currency:

Offset FNA Dist: HSC-200 Amount 1:


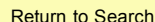

CS Project: N Amount 2:


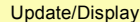

Last Bill Sequence: 002 Amount 3:

Autobill Override: ☐

Segment Start Dt: 12/01/2010

Date 2:

 Save  Return to Search  Refresh

 Add  Update/Display  Include History


[Project General](#) | [Definition](#) | [Manager](#) | [Location](#) | [Phases](#) | [Approval](#) | [Justification](#) | [User Fields](#) | [Rates](#) | [Attachments](#)

**Bill Type:** Defines the format and frequency of the invoice. This field is not populated unless OGC is responsible for invoicing this project. See attached for possible values.

**Last Bill Sequence:** Provides the sequence portion of the last invoice number. The invoice number format consists of the project number followed by a dash and a sequential number. For example 2500000-001. The number can also include a suffix of "CR" for a credit invoice or "A" or "B", etc. for a modification to an invoice or "ADD" for an additional final invoice. For example 2500000-001A. Like Bill Type, this field is not populated unless OGC is responsible for invoicing this project.

**Autobill Override:** If this box is checked, the bill will not be generated.

**Segment Start Date:** Displays the budget begin date of the first budget year of the segment to which this project belongs. Segment is the new or competing continuation of a grant. In the context of a contract, each contract equates to a new segment. Contract modifications are not considered a new segment.

**Project** | [Project CU Attributes](#) | [Sponsor](#) | [Program Income](#) | [Award Number](#) | [Dates](#) | [Reports](#) | 

## Project



SetID: UCOLO


Project: 2500000

Integration: UCOLO 

CU Project Attributes			Find   View All   	First  1 of 1  Last
*Effective Date	*Description	Short Descr	*Status	
12/22/2010 	Gpfor Mer Tyrsine	Gpfor Mer Ty	Inactive	 

[Project General Information](#)

 Save | [Return to Search](#) |  Refresh

[Add](#) | [Update/Display](#) |  Include History

[Project](#) | [Project CU Attributes](#) | [Sponsor](#) | [Program Income](#) | [Award Number](#) | [Dates](#) | [Reports](#) | [Manager](#) | [Principal](#)

**Note:** In the following screens, those fields not defined are either not used, or the field appears to be self-evident.

**Effective Date:** Anytime a field is updated in any of the project screens, this date indicates the date the displayed value became effective. This field is most relevant when the "Include History" box is checked on the Project Search Screen on the "Include History" button above is used.

**Description Fields:** The short and long title of the project. Currently, this data does not match the title on the NGA/Contract.

**"Project General Information":** This button provides access to the second set of project screens which include the billing accountant's name, bill type, last bill sequence, autobill override and the segment start date.


**Project**[Project CU Attributes](#)[Sponsor](#)[Program Income](#)[Award Number](#)[Dates](#)[Reports](#)

## Project

SetID: UCOLO

Project: 2500000

### CU Project Attributes

[Find](#) | [View All](#) First  1 of 1  Last\*Effective Date: 12/22/2010 

Fund Code: 30 Sponsored Projects- Fed &amp; Priv

Organization: 20967 SOM-PEDS Hematology-SpPgms

\*Expense Purpose Code: 1200 Research

Cost Center:

Un Bus Inc Tax: Non UBIT

AuxType:

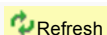
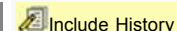
#### Accountant

Position/Name: 0000000 Davis, Dianthony

Telephone/Email: 303-724-0000 dianthony.davis@ucdenver.edu

Campus Box: F428 AMCA

FM Accountant:

[Return to Search](#)[Refresh](#)[Add](#)[Update/Display](#)[Project](#) | [Project CU Attributes](#) | [Sponsor](#) | [Program Income](#) | [Award Number](#) | [Dates](#) | [Reports](#) | [Manager](#) | [Principal](#)

**Expense Purpose Code:** This is used in financial reporting and indicates if the sponsored project fits within the (1) research, (2) instructional, or (3) public service portion of the University's mission. The source of this information is from the departmental routing form.







**Accountant:** This is the postaward accountant assigned to the project. Like the fiscal manager and fiscal staff roles, this name is managed via the position number. Specifically, the position number is input and the individual currently occupying this position number in HRMS will be displayed in the "Name" field.

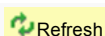
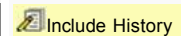
[Project](#)[Project CU Attributes](#)[Sponsor](#)[Program Income](#)[Award Number](#)[Dates](#)[Reports](#)

## Project

SetID: UCOLO

Project: 2500000

CU Project Attributes		Find   View All	First	1 of 1	Last
*Effective Date:	12/22/2010 				
Location Type:	On-Campus	Building:	FITZSIMONS	Room:	4400
Overall Proj/Grant Amt:	\$75,000.00	*Sub Contract:	N 		
Award Type:	G04 Subagreements under Grants				
NSF Report Code:	53  Medical				
Document Number:					
Sponsor:	GPFCR  G&P Foundation For Cancer Research				
Billing Location:	 <a href="#">Billing Address</a>				
Sponsor Type:	A Associations and Foundations	Orig Fund:	Y ASSN/FOUNDATIONS - FED SUB-RCP		
CFDA Code:					
Flow Thru CFDA Code:	93.856 	Microbiology and Infectious Diseases Research			

[Return to Search](#)[Add](#)[Update/Display](#)
[Project](#) | [Project CU Attributes](#) | [Sponsor](#) | [Program Income](#) | [Award Number](#) | [Dates](#) | [Reports](#) | [Manager](#) | [Principal](#)

**Location Type:** Indicates if the majority of the work on the project is performed on or off campus. If the location type is on-campus. This information is pulled from the Departmental Routing Form.

**Overall Proj/Grant Amt:** This amount represents the total amount committed for the segment in the case of grants or the maximum contract amount in the case of contracts. It is pulled from the award document.

**Award Type:** Indicates if the award is a grant or contract. This categorization also includes the common types of grants and contracts. For example, a training grant or a federal-flow through or clinical trial contract. OGC set-up staff will determine this value based on the award document.

**NSF Report Code:** This is used in the Annual NSF Survey that is completed by OGC. This value will be determined by OGC set-up staff.

**Document Number:** This identifies a unique identifier used by the Federal payment systems for Federal grants. OGC set-up staff will determine this value based on the award document.

**Sponsor:** Name and vendor code of the award's sponsor.

**Billing Location:** The address to which any required invoices will be sent. This is populated by OGC.

**Sponsor Type:** This indicates the type of business entity of our sponsor. It is used for reporting purposes and is defined when the sponsor is set-up.

**Org Fund:** This field's value will differ from the Sponsor Type only if the award is a Federal flow-through. In the case of a Federal flow-through, this field will indicate the top-level source of funds and type of entity through which the award flowed to UCD. The value in this field is determined from the sponsor set-up. This field's value defines the account codes used for the Revenue and Accounts Receivable associated with the project.

**CFDA Code:** This is the Catalog of Federal Domestic Assistance and is used in financial reporting. This data is pulled from the NGA by the OGC set-up group.




[New Window](#) | [Project](#) | [Project CU Attributes](#) | [Sponsor](#) | **Program Income** | [Award Number](#) | [Dates](#) | 

## Project

SetID: UCOLO

Project: 2500000....

Program Income							Find   View All   		First		1 of 1		Last
<u>*Type</u>	<u>*SpeedType</u>	<u>Description</u>	<u>Fund</u>	<u>Org</u>	<u>Program</u>	<u>Project</u>	<u>Sub-class</u>						
													

 Save[Return to Search](#) Refresh[Add](#)[Update/Display](#) Include History[Project](#) | [Project CU Attributes](#) | [Sponsor](#) | [Program Income](#) | [Award Number](#) | [Dates](#) | [Reports](#) | [Manager](#) | [Principal](#)

This screen is currently under construction and not used.



[Project](#)[Project CU Attributes](#)[Sponsor](#)[Program Income](#)[Award Number](#)[Dates](#)[Reports](#) 

## Project

SetID: UCOLO

Project: 2500000


### CU Project Attributes

[Find](#) | [View All](#)First  1 of 1  Last\*Effective Date: 12/22/2010  

Contract Type: CR


Project Reference:

AR Type: 2-Billed

Campus: 2  2 - Health Science Cntr Campus

### Letter of Credit Not Auth Amt:

Sponsor Award Number: 030

Award Date: 05/10/2009 

Proposal Number: 122402

\*COFRS Approp Code: NAP - All But Student Fin Aid



FA Type: 7

HSC'S MTDC Cur Month HSC mtdc Cur Month

FA Rate: 53.000

FA Change Rate: 0.000

FA Change Date:

Occupational Health  Save[Return to Search](#) Refresh[Add](#)[Update/Display](#) [Include History](#)[Project](#) | [Project CU Attributes](#) | [Sponsor](#) | [Program Income](#) | [Award Number](#) | [Dates](#) | [Reports](#) | [Manager](#) | [Principal](#)**Contract Type:** Provides further categorization of type of contract. Defined from the award document.**AR type:** Defines how the University will be reimbursed and who is responsible for initiating the request for reimbursement as well as the aging and collections of the receivable. OGC set-up staff populates this field based on the award document. See attached for current list of values.**Campus:** Designates which campus the award is associated with.**Sponsor Award Number:** Displays our sponsor's unique identifier of the award. OGC set-up staff populates this field based on the award document. This value is displayed on the invoice. If a sponsor requires an value on the invoice other than their award number (ex. PO number), this data is input onto the "Notes" field on the "Project/Contract" screen within the Customer Module.**Award Date:** Displays the date the award document was issued by the sponsor for this project number. In the case of multiple year awards (ex. R01) this date corresponds to the date the sponsor issued the current budget year award.**Proposal Number:** Displays the routing number of the proposal. Defined from the Departmental Routing form.**COFRS Code:** Used to identify ARRA awards. "NAP" is the only non-ARRA value.**FA Type:** Defines the basis on which the F&A Rate is applied. Includes MTDC, TDC and TDC - Industry.**F&A Rate:** Defines the F&A Rate that is applied to the F&A Basis. Both the F&A rate and F&A basis are defined by the University's Policy. Any exceptions required an approved F&A Waiver form. The comparison of the segment start date to the effective date of the F&A Rate Agreement determine the applicable F&A Rates for the project.**FA Change Rate and FA Change Date:** Defines a new F&A rate and date change of the next fiscal year.

# Facilities and Administrative Costs (F&A) – Exhibit A-1

July 1, 2012-June 30, 2013

revised 10/12

Primary Fund Source	Project Type	On-Campus	Off-Campus	Cost Base Type
Sponsors including but not limited to Federal, federal flow-through, state, state flow-through, etc.	Organized Research	54%	26%	MTDC*
	Instruction	42%	26%	MTDC*
	Other Sponsored Projects	26%	26%	MTDC*
Industry Projects (excluding federal flow-through) and Non-federal Clinical Trial Awards		26% TDC-Industry <sup>1</sup>	26% MTDC*	
Proof of Concept Awards (POCg)	Tech Transfer	8%	8%	MTDC*
Non-profit associations and foundations All (excluding clinical trials)		Sponsor consistently applied published policy, <u>OR</u> 10% if no sponsor policy		TDC

Modified Total Direct Cost (MTDC)\* =  
Total Direct Cost (TDC)

Less	Salaries/Wages
	Fringe Benefits
	Materials & Supplies
	Animal Resource Center Costs
	Services
	Travel
	Sub grants or Sub contracts up to 1 <sup>st</sup> \$25,000
	Genomic Arrays subject to NIH Policy (NOT-OD-10-097) effective 5/13/2010
	Equipment
	Capital Expenditures
	Patient Care Charges <sup>2</sup>
	Tuition Remission
	Rental Costs
	Scholarships
	Fellowships
	IRB fees
	Portion of Sub grants or Sub contracts in excess of 1 <sup>st</sup> \$25,000

<sup>1</sup> TDC-Industry means the total of all of the direct costs of a sponsored project, performed on-campus, except for IRB costs.

<sup>2</sup> Depending on the circumstances, patient care charges may be excluded or included in the assessment of F&A. Patient care charges are "excluded" from the assessment of F&A when patient services are provided by external entities (e.g. hospitals and clinics) and the services are billed directly to a sponsored project. Patient care charges are "included" in the assessment of F&A when patient services are performed in University space that is considered on-campus and the services are charged directly to a sponsored project by the campus department providing the service or via UPI. See Exhibit A-2 for a listing of the general ledger account codes that are assessed F&A.

<sup>3</sup> Note: Effective 11/16/2011 Animal Resource Center Costs are assessed F&A.



## COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: DATE:11/16/2011  
 ORGANIZATION: FILING REF.: The preceding  
 University of Colorado Denver Frmlly: Univ agreement was dated  
 of CO at Denver & Hlth Sci 11/15/2007  
 Mail Stop A005/129 P.O. Box 173364  
 Denver, CO 80217-3364

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

## SECTION I: INDIRECT COST RATES

RATE TYPES: FIXED FINAL PROV. (PROVISIONAL) PRED. (PREDETERMINED)

EFFECTIVE PERIOD

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE(%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
PRED.	07/01/2011	06/30/2012	53.00	On-Campus	Organized Res.
PRED.	07/01/2012	06/30/2013	54.00	On-Campus	Organized Res.
PRED.	07/01/2013	06/30/2014	54.50	On-Campus	Organized Res.
PRED.	07/01/2014	06/30/2015	55.00	On-Campus	Organized Res.
PRED.	07/01/2015	06/30/2016	55.50	On-Campus	Organized Res.
PRED.	07/01/2011	06/30/2016	26.00	Off-Campus	Organized Res.
PRED.	07/01/2011	06/30/2016	42.00	On-Campus	Instruction
PRED.	07/01/2011	06/30/2016	26.00	Off-Campus	Instruction
PRED.	07/01/2011	06/30/2016	26.00	All	Other Sponsored Projects

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE(%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
PROV.	07/01/2016	Until Amended		(A)	

\*BASE

Modified total direct costs, consisting of all salaries and wages, fringe benefits, materials and supplies, services, travel, and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract). Equipment, capital expenditures, charges for patient care, tuition remission, rental costs, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000 shall be excluded from modified total direct costs.

(A) Use same rates and conditions as those cited for fiscal year ending June 30, 2016.

ORGANIZATION: University of Colorado Denver Fmly: Univ of CO at  
Denver & Hlth Sci

AGREEMENT DATE: 11/16/2011

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**SECTION II: SPECIAL REMARKS**

---

TREATMENT OF FRINGE BENEFITS:

The fringe benefits are specifically identified to each employee and are charged individually as direct costs. The directly claimed fringe benefits are listed below.

TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

DEFINITION OF OFF-CAMPUS

A project is considered off-campus if more than 50% of the project (excluding subcontracts) is conducted at an off-campus location by university employees. Off-campus is defined as locations other than university owned or operated facilities, and the costs of physical plant and library are not applicable to the project as indirect costs. Off-Campus sites include the University Hospital and Children's Hospital.

DEFINITION OF EQUIPMENT

Equipment is defined as tangible nonexpendable personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit.

The following fringe benefits are treated as direct costs:

PERA, FICA, WORKERS COMPENSATION, UNEMPLOYMENT COMPENSATION, MEDITAX, SHORT-TERM DISABILITY, HEALTH/LIFE INSURANCE, AND OTHER ALTERNATIVE RETIREMENT PROGRAMS.

GENOMIC ARRAYS

The NIH policy on indirect costs pertaining to Genomic arrays (NOT-OD-10-097) is effective as of 05/13/10.

ORGANIZATION: University of Colorado Denver Frmlly: Univ of CO at  
Denver & Hlth Sci

AGREEMENT DATE: 11/16/2011

---

**SECTION III: GENERAL**

---

**A. LIMITATIONS:**

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted; such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

**B. ACCOUNTING CHANGES:**

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

**C. FIXED RATES:**

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

**D. USE BY OTHER FEDERAL AGENCIES:**

The rates in this Agreement were approved in accordance with the authority in Office of Management and Budget Circular A-21 Circular, and should be applied to grants, contracts and other agreements covered by this Circular, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

**E. OTHER:**

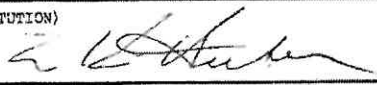
If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to these programs.

**BY THE INSTITUTION:**

University of Colorado Denver Frmlly: Univ of CO at Denver & Hlth  
Sci

(INSTITUTION)

(SIGNATURE)

  
E. Kim Huber

(NAME)

Assistant Vice Chancellor  
for Finance/Controller

(TITLE)

(DATE)

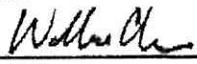
11/28/11

**ON BEHALF OF THE FEDERAL GOVERNMENT:**

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

(SIGNATURE)

  
Wallace Chan

(NAME)

Director, Western Field Office

(TITLE)

11/16/2011

(DATE) 1005

HHS REPRESENTATIVE:

Jeanette Lu

Telephone:












(415) 437-7820



[New Window](#) | [Project](#)[Project CU Attributes](#)[Sponsor](#)[Program Income](#)[Award Number](#)[Dates](#)[Reports](#)[Manager](#) | 

## Project

SetID: UCOLO

Project: 2500000

CU Project Attributes		Find   View All	First	1 of 1	Last
*Effective Date:	12/22/2010 				
Report Final:	Report Cycle: Annually				
Chancellors Report:					
Final Report Submit Date:					
Final Report Due Date:	Cap Equip Treshold F & A: N				
Date Retired:	NIH End Date: 				
Budget Period Begin Date: 05/01/2009 	Budget Period End Date: 04/30/2010 				
Project Begin Date: 05/01/2007 	Project End Date: 04/30/2010 				
Date To Destroy: 	Date Destroyed: 				
*Cost Sharing Report Required: N 					

 Save[Return to Search](#) Refresh[Add](#)[Update/Display](#) Include History[Project](#) | [Project CU Attributes](#) | [Sponsor](#) | [Program Income](#) | [Award Number](#) | [Dates](#) | [Reports](#) | [Manager](#) | [Principal](#)

The reporting-related fields are not used on this page. The reporting page is currently under development.

**Budget Period Begin and End Dates:** Defines the current budget period. In the case of an award with Expanded Authorities

(ex. R01) the Budget Begin Date will be the Budget Begin Date of the Segment.

**Project Begin and End Date:** Defines the life of the project. The Project Begin Date is the start date of the first budget year.

**Note:** For contracts that do not specify an end date, OGC will set the end date out three years. This ensure that the project will be reviewed periodically by our department partners to determine if the contract is complete. If the contract work is not complete, an email request for extension is all that is needed to re-set the end dates out three more years.

[New Window](#) | [Project CU Attributes](#) | [Sponsor](#) | [Program Income](#) | [Award Number](#) | [Dates](#) | **Reports** | 




## Project

SetID: UCOLO

Project: 2500000



### Report Types


[Find](#) | [V](#)

*Rpt Type	Description	*Frequency	*First Rpt Due Date	Last Rpt Due Date	
					<a href="#">Generate Rpt Dates</a>

### Dates and Amounts

[Find](#) | [View All](#)

*Due Date	Sponsor Outlays	Cost Share	Program Income	Unliquidated Obligations	Carry Forward Amount Requested	Carry Forward Date Requested	Carry Forward Approved Amount
1 	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		

[Save](#) | [Return to Search](#) | [Refresh](#)[Add](#) | [Project](#) | [Project CU Attributes](#) | [Sponsor](#) | [Program Income](#) | [Award Number](#) | [Dates](#) | [Reports](#) | [Manager](#) | [Principal](#)





This screen is currently under development.



[Sponsor](#) | 
 [Program Income](#) | 
 [Award Number](#) | 
 [Dates](#) | 
 [Reports](#) | 
 **Manager** | 
 [Principal](#)

## Project

SetID: UCOLO

Project: 2500000

CU Project Attributes		Find   View All	First 1 of 1 Last
*Effective Date: 12/22/2010 			
<b>Manager</b>			
Position/Name: 188322 			
Telephone/Email:			
Campus Box:			
Rev Exp Roll Forward: Y 		<b>Remarks</b> OLD #2580812 PN091591 3 YR AWD @ \$75K PER YEAR ON-RC1N,Y(66904003(08)1E),Y(02-1019),N,Y(04-001), 10% TDC/SPONSOR 27% UNFUNDED PI EFFORT ANIM \$16600, OTHER \$15800, OPER \$6578, TRAV \$2833, S&B \$26371 REALLOC 20% W/O PRIOR APPR, RETURN UNEXPENDED FUNDS TO SPONSOR CARRYFWD FROM YR TO YR REQUIRES PRIOR WRITTEN APPROVAL	
E-Verify Required: N 			
Wildcard:			

 Save | 
 [Return to Search](#) | 
  Refresh

[Add](#) | 
 [Update/Display](#) | 
  Include History

[Project](#) | 
 [Project CU Attributes](#) | 
 [Sponsor](#) | 
 [Program Income](#) | 
 [Award Number](#) | 
 [Dates](#) | 
 [Reports](#) | 
 [Manager](#) | 
 [Principal](#)

**Manager:** This displays the fiscal manager of the award. This data is used for the distribution of the Monthly Status report. The value (position number) is pulled from the Departmental Routing Form. The data is based on the position number - so if a person moves to a new position, the name will automatically update to the name of the new person entered onto this position number. To change the position number (and thus the name of the fiscal manager), the Change of Fiscal Manager - Fund 30 form located on the OGC website should be completed per the instructions on the form.

The fiscal Staff is stored on the Speedtype table. Like the fiscal manager, the fiscal staff is also maintained by position number.

**Remarks:** This field is used by the postaward accountants and set-up staff in OGC.


[New Window](#) | [Sponsor](#) | [Program Income](#) | [Award Number](#) | [Dates](#) | [Reports](#) | **Manager** | [Principal](#)

## Project

**SetID:** UCOLO  
**Project:** 2500000

### CU Project Attributes

[Find](#) | [View All](#) | [Fir](#)

\*Effective Date: 12/22/2010 

#### Principal

[Find](#) | [View All](#) |  [First](#)

<u>*Role</u>	<u>EmplID</u>	<u>Name</u>	<u>Phone</u>	<u>Email</u>	<u>Campus Box</u>	<u>Empl Status</u>	<u>Term Da</u>
Primary		Smith, Stan	303/724-0000	stan.smith@ucdenver.edu	A000 TCH		Active

[Save](#) | [Return to Search](#) | [Refresh](#)[Add](#) | [Update/Display](#)[Project](#) | [Project CU Attributes](#) | [Sponsor](#) | [Program Income](#) | [Award Number](#) | [Dates](#) | [Reports](#) | [Manager](#) | [Principal](#)

**Principal:** This field displays the PI on the project. Unlike the fiscal manager and fiscal staff roles, this field is maintained by employee number as the award will always be tied to an individual rather than a position number.



	Values/Titles	Comments
<b>AR Type</b>	1 = Letter of Credit	Used for those Federal grants where reimbursement is made through a pool.
	2 = Billed	These are project for which OGC will invoice
	3 = Advance Pay	These are a combination of projects where either the Department or OGC may invoice. Currently we are including who is to invoice on the set-up email. We are working to add more AR Types to help clarify the responsibility.
	4 = Letter of Credit Pool	These are used for the letter of credit pool project - Mainly by Boulder
	5 = Revenue Recognition No Budget	The most common type of project using the AR Type 5 are fixed-rate contract such a clinical trials.
	6 = Program Income	OGC billing is not required on this AR type. This AR type recognized revenue based only on cash receipts.
<b>FA Type</b>	0 = None	F&A is not applied on this project
	1 = 150-399	Not used by CU Denver
		F&A is calculated on just the current month's expense. With this F&A Type, the accounting system does not recalculate F&A expense for the entire project period.
	2 = HSC's MTDC Cur after 11/15/11	The base on which the F&A rate is applied is MTDC where F&A is charged on the HSC Animal Facility expenses (i.e., new policy). It is applicable to those awards whose segment start date was after 11/15/11 or contracts executed after 11/15/11. Those proposals in the "pipeline" during this transition where the budget was already developed under the old policy were set-up using F&A Type 2, and the specific account code for HSC Animal Facility was added to the accounts excluded from F&A for just that project.
	3 = Skip O/H & Subcodes	This F&A base consists of Total Direct Costs - there are no account codes excluded.
	4 = Cumulative 03	This F&A base is same as 03, except the accounting system will recalculate F&A for the entire project period.
	5 = Incl Subcodes	Not used by CU Denver
		F&A is calculated on the project-to-date expenses.
	6 = HSC's MTDC PTD after 11/15/11	The base on which the F&A rate is applied is MTDC where F&A is charged on the HSC Animal Facility expenses (i.e., new policy). It is applicable to those awards whose segment start date was after 11/15/11 or contracts executed after 11/15/11. Those proposals in the "pipeline" during this transition where the budget was already developed under the old policy were set-up using F&A Type 2, and the specific account code for HSC Animal Facility was added to the accounts excluded from F&A for just that project.
	7 = HSC's MTDC Cur Month	F&A is calculated on just the current month's expense. With this F&A Type, the accounting system does not recalculate F&A expense for the entire project period.
<b>Bill Types</b>		The base on which the F&A rate is applied is MTDC where F&A is <b>not</b> charged on the HSC Animal Facility expenses (i.e., old policy). It is applicable to those awards whose segment start date was prior to 11/15/11 or contracts executed before 11/15/11.
	8 = HSC's MTDC PTD	F&A is calculated on the entire project-to-date expenses. With this F&A Type, the accounting system does recalculate F&A expense for the entire project period.
	9 = Skip Eqp & Subcodes	The base on which the F&A rate is applied is MTDC where F&A is <b>not</b> charged on the HSC Animal Facility expenses (i.e., old policy). It is applicable to those awards whose segment start date was prior to 11/15/11 or contracts executed before 11/15/11.
	B = Building	Not used by CU Denver
	E = Equipment	Not used on general research projects
	I = Improvements	Not used on general research projects
	N = Non-Capitalized	Not used on general research projects
	2A1- UCD Annually Current Only	Annual invoice format contains only expenses for the current month
	2A2- UCD Annually Current/Cumulative	Annual invoice format contains expenses for the current month and project-to-date
	2A3- UCD Annually Budget/Cur/Cumulative	Annual invoice format contains expenses for the current month and project-to-date, and budget amounts.
	2M1- UCD Monthly Current Only	Monthly invoice format contains only expenses for the current month
	2M2- UCD Monthly Current/Cumulative	Monthly invoice format contains expenses for the current month and project-to-date
	2M3- UCD Monthly Budget/Cur/Cumulative	Monthly invoice format contains expenses for the current month and project-to-date, and budget amounts.
	2Q1- UCD Quarterly Current Only	Quarterly invoice format contains only expenses for the current month
	2Q2- UCD Quarterly Current/Cumulative	Quarterly invoice format contains expenses for the current month and project-to-date
	2Q3- UCD Quarterly Budget/Cur/Cumulative	Quarterly invoice format contains expenses for the current month and project-to-date, and budget amounts.

**Routing Primary Contact First and Last Name**

Campus Box \_\_\_\_\_  
 Contact Phone \_\_\_\_\_  
 Contact Fax \_\_\_\_\_  
 E-mail \_\_\_\_\_

**UNIVERSITY OF COLORADO DENVER**  
**APPROVAL OF APPLICATION FOR GRANT OR CONTRACT**  
**(Routing Form)**

**Grants and Contracts**

No. \_\_\_\_\_  
 Date: \_\_\_\_\_  
 OGC ID: \_\_\_\_\_

FUNDING AGENCY: \_\_\_\_\_ DEADLINE: \_\_\_\_\_  
 PRIMARY FUNDING SOURCE (If other than the funding agency listed above): \_\_\_\_\_ NEEDED BY: \_\_\_\_\_  
 PRINCIPAL INVESTIGATOR(s): \_\_\_\_\_ RANK: \_\_\_\_\_ PHONE: \_\_\_\_\_  
 SCHOOL: \_\_\_\_\_ DEPT/DIV: \_\_\_\_\_ ORG NO: \_\_\_\_\_  
 FISCAL MGR NAME/POS NO: \_\_\_\_\_ FISCAL STAFF NAME/POS NO: \_\_\_\_\_

**1. PROJECT TITLE:**

2a. PROPOSED PERIOD From \_\_\_\_\_ To \_\_\_\_\_

2b. TOTAL PROJECT PERIOD From \_\_\_\_\_ To \_\_\_\_\_

3. ☐ New ☐ Supplement ☐ Revision  
☐ Continuation – Competing ☐ Continuation – Non-Competing  
☐ Current Project No. \_\_\_\_\_  
☐ Award No. \_\_\_\_\_

4. ☐ Research Program ☐ Fellowship/Traineeship ☐ Facilities Request  
☐ Instructional Program ☐ Career Development Award ☐ Service Program

5. FACILITIES: Is adequate space available to conduct the project? Yes ☐ No ☐  
 Where will the majority (50% or more) of UCD's work be performed (e.g., Biomedical Research Building (on-campus) or Anschutz Out-Patient Pavilion (off-campus))?  
 Site/Location/Bldg. \_\_\_\_\_ Room #(s) \_\_\_\_\_  
 Location given is an ☐ On-Campus Site ☐ Off-Campus Site

6. LAB ANIMALS: Yes ☐ No ☐  
 If yes: Protocol Number: \_\_\_\_\_  
 Approval/Re-approval Date: \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

7. HUMAN SUBJECTS (HS): Yes ☐ No ☐  
 If yes: Protocol Number: \_\_\_\_\_  
 Approval/Re-approval Date: \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

8a. RADIATION SAFETY: Yes ☐ No ☐  
 If yes: Auth. Number: \_\_\_\_\_  
 Approval/Re-approval Date: \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
☐ Ionizing Radiation generating equipment ☐ Other: \_\_\_\_\_  
☐ Radioactive Materials

8b. BIOSAFETY: Yes ☐ No ☐  
 If yes: Auth. Number: \_\_\_\_\_  
 Approval/Re-approval Date: \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
☐ Recombinant DNA ☐ Infectious Agents ☐ Select Agents ☐ Other

9. COST SHARING: Does this proposal contribute any UCD resources (i.e. costs that will not be paid or reimbursed by the sponsor)? Yes ☐ No ☐  
 If yes: a. Is cost sharing required by this sponsor? Yes ☐ No ☐  
 b. Is cost sharing included in the proposal budget? Yes ☐ No ☐  
 c. Is cost sharing mentioned in the proposal narrative? Yes ☐ No ☐

10. CONFLICT OF INTEREST: The PI is responsible for ensuring that all individuals performing work that directly impacts the proposed project scope of work have a current Conflict of Interest Disclosure on file with the UCD COI office. Agreed ☐

**PRINCIPAL INVESTIGATOR: My signature certifies that:** 1) I acknowledge and accept responsibility for the technical content and quality of the proposed project; 2) I assure that the project and other professional activities are compatible; 3) I assure that no individuals on the project will have commitments in excess of 100% effort; 4) I accept responsibility for the proper technical and financial conduct of the project; 5) I assure that the information contained on this form and attached application/proposal is true, accurate and complete to the best of my knowledge; 6) I acknowledge and accept responsibility for compliance with award terms and conditions and university policies and procedures, particularly for the technical conduct of the work, submission of technical reports, regulatory compliance, and financial management if an award is made; 7) I assure that arrangements have been made to fund any cost sharing requirements if an award is made; 8) Any items requiring special consideration by the Dean, Chancellor's Office, Planning Office, etc. have been disclosed; 9) I assure that if a joint appointment with the Veterans Administration exists, a memorandum of understanding has been executed between the UCD and the VA, full disclosure has been made to the NIH or other sponsors as required, and my time and effort will not be double billed for the same effort; 10) I understand that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil or administrative penalties; 11) If an award is made, I am responsible for compliance with award terms and conditions and University policies and procedures, particularly for the technical conduct of the work, submission of technical reports, regulatory compliance, and financial management; and 12) I understand that all individuals performing work that directly impacts the proposed project scope of work must have a Conflict of Interest Disclosure on file with the Office of Regulatory Compliance.

Principal Investigator: \_\_\_\_\_ Date: \_\_\_\_\_

11. BUDGETARY ITEMS - If #7 (Human Subjects) is yes, answer the following:  
 a. Will this project involve work with HS to be done at UCH, TCH, or any other non-UCD facilities? Yes ☐ No ☐  
 b. Are all research-related patient care costs included in the budget, including any applicable non-UCD facility charges incurred as direct costs? Yes ☐ No ☐  
 If no, explain why under comments or on a separate page attached to this form.

12. CLINICAL TRIAL-Answer the following questions if the project is a clinical trial:  
 a. Project sponsor is: ☐ US Govt. agency ☐ agency of U.S. state, country or municipality; ☐ foreign government; ☐ private company  
 b. Project hypothesis developed by the PI? ☐ Yes ☐ No  
 c. Protocol designed by university personnel? ☐ Yes ☐ No  
 d. Who initiated the project? ☐ Sponsor ☐ PI  
 e. Is University the only entity conducting this research for sponsor? ☐ Yes ☐ No  
 f. Stage of drug development for trial: ☐ Preclinical testing ☐ Phase I ☐ Phase II ☐ Phase III ☐ Phase IV ☐ New Drug App. has been filed ☐ Device ☐ Other

**13. SUMMARY OF PROPOSED BUDGET**
**DIRECT COSTS**
**Personnel**

- Salaries and Wages \$ \_\_\_\_\_

- Fringe Benefits \$ \_\_\_\_\_

Consultant Costs \$ \_\_\_\_\_

Equipment (over \$5,000) \$ \_\_\_\_\_

Supplies \$ \_\_\_\_\_

Travel \$ \_\_\_\_\_

Hospital Patient Care Costs \$ \_\_\_\_\_

Subrecipient(s): How many? \_\_\_\_\_ \$ \_\_\_\_\_

Trainee Costs \$ \_\_\_\_\_

- Stipends \$ \_\_\_\_\_

- Tuition and Fees \$ \_\_\_\_\_

Other Expenses \$ \_\_\_\_\_

- Center for Laboratory Animal Care (at SOM) \$ \_\_\_\_\_

- IRB Review of Industry Sponsored Protocols \$ \_\_\_\_\_

- Rent \$ \_\_\_\_\_

- Tuition Remission & Related Fringe Benefits \$ \_\_\_\_\_

**TOTAL DIRECT COSTS** \$ \_\_\_\_\_

**FACILITIES AND ADMINISTRATIVE COSTS (F&A)**

% F&A \_\_\_\_\_ %

Costs Subject to F&A \$ \_\_\_\_\_ \$ \_\_\_\_\_

**TOTAL DIRECT AND F&A COSTS** \$ \_\_\_\_\_

**APPROVALS (To be obtained by PI/Administrator)**

Department Chair or Director \_\_\_\_\_ Date \_\_\_\_\_

Dean \_\_\_\_\_ Date \_\_\_\_\_

Grants and Contracts \_\_\_\_\_ Date \_\_\_\_\_

\_\_\_\_\_ Date \_\_\_\_\_